# Chapter 6 Congestion Control and Resource Allocation

## Congestion Control and Resource Allocation

- The issue is "how to **effectively** and **fairly** allocate resources among a collection of competing users?"
- The resources being shared include
  - The **bandwidth** of the links
  - The **buffers** on the routers or switches
- **Congested:** when too many packets are contending for the same link, the **queue overflows** and packets have to be **dropped frequently** 
  - A congestion-control mechanism is introduced
- Congestion may be avoided by using good resource allocation mechanism
  - Possibly make congestion-control unnecessary

# Issues in Resource Allocation

## Issues in Resource Allocation

- The difference between **flow control** and **congestion control** 
  - Flow control involves keeping a fast sender from overrunning a slow receiver
  - Congestion control is intended to keep a set of senders from sending too much data into the network

**Congestion control** 



## Network Model

- A packet-switched network consists of multiple links and routers (or switches)
- A given source may have **more than enough capacity** on the **immediate** outgoing link to send a packet
- In the middle of a network, the packets encounter a link that is being used by many **different traffic sources** 
  - The congested router is sometimes call the **bottleneck**



# **Connectionless Flows**

- For the Internet, the network is essentially **connectionless**, with any **connection-oriented** service implemented in the transport protocol that is running on the end hosts
  - **IP** provides a **connectionless** datagram delivery service
  - TCP implements an end-to-end connection abstraction
- Flow: a sequence of packets sent between a source/destination pair and following the same route through the network



# **Connectionless Flows**

- Each router maintains **soft state and hard state information** for each flow
- **Soft state** is **not** explicitly created and removed by **signaling** 
  - That can be used to make resource allocation decisions about the packets that belong to the flow
- Hard state is explicitly created and removed by signaling
  - That is generally used to make the packets being correctly routed from the source to the destination
- The correct operation of the network does not depend on soft state information, but the router with this information can better handle the packets
  - Resource allocation and congestion control

## Router-Centric Versus Host-Centric

- The Router-Centric mechanism addresses the problem from inside the network
  - Each router takes responsibility for deciding when packets are forwarded and selecting which packets are dropped
- The Host-Centric mechanism addresses the problem from the edges of the network
  - The end hosts observe the network conditions and adjust their behavior accordingly
- These two groups are **not mutually exclusive** 
  - A Router-Centric network still expects the end hosts to adhere to any advisory messages sent by the routers
  - A Host-Centric network still has some policy for deciding which packets to drop when their queues do overflow

#### **Reservation-Based**

- In a **Reservation-Based** system, the end host asks for a certain amount of capacity at the time a flow is established
  - Each router allocates enough resources to satisfy the request
  - If the request cannot be satisfied at some router, the router rejects the flow



#### Feedback-Based

- In a Feedback-Based system, the end hosts begin sending data without reserving any capacity and adjust their sending rate according to the received feedback
  - Explicit feedback: it is according to a message from a congested router
  - Implicit feedback: it is according to the externally observable behavior of the network (e.g. packet losses)



#### Reservation-Based Versus Feedback-Based

- A **Reservation-Based** system always implies **a routercentric** resource allocation mechanism
  - Each router is responsible for keeping track the resource
- A Feedback-Based system can imply either a router- or host-centric mechanism
  - If the feedback is **explicit**, the router is involved
  - If the feedback is **implicit**, the routers **silently drop packets** when they become congested

#### Window-Based Versus Rate-Based

- Both **flow-control** and **resource allocation** mechanisms need a way to express to the sender
- For **Window-Based** mechanism (such as TCP), the receiver advertises a window to the sender
  - This window corresponds to how much **buffer space** the receiver has
- For **Rate-Based** mechanism, a sender's behavior is controlled by using a **rate**
- **Rate-based** characterization of flows is a good choice in a reservation-based system
  - Supports different qualities of service (QoS)

# Evaluation Criteria (Effectiveness)

- A network should **effectively** and **fairly** allocate its resources
- For evaluating effectiveness, two metrics of networking are considered: **throughput** and **delay**
- One way to increase throughput is to allow **as many** packets into the network **as possible** 
  - Drive the utilization of all the links **up to 100%**
  - Increase the length of the **queues** at each router
    - Longer queues mean packets are delayed longer in the network ⇒ a large delay
- We may use the ratio of throughput to delay as a metric for evaluating the effectiveness of a resource allocation scheme
  - Power = Throughput / Delay

# Evaluation Criteria (Effectiveness)

- Power is based on an M/M/1 queuing network that assumes infinite queues
  - Real networks have only **finite buffers**
- Power is typically defined relative to a single connection
  - Real networks have multiple, competing connections



# Evaluation Criteria (Fairness)

- A **reservation-based** resource allocation scheme provides an explicit way to create **controlled unfairness**
- In the **absence** of explicit information, we would like for each flow to receive an **equal share** of the bandwidth
- But equal shares may not equate to fair shares
  - Should we consider the length of the paths being compared?





#### Evaluation Criteria (Fairness)

- Raj Jain has proposed a metric that can be used to quantify the fairness of a congestion control mechanism
- Given a set of flow throughputs  $(x_1, x_2, ..., x_n)$  bits/second
- A **fairness index function** is defined as

$$f(x_1, x_2, \dots, x_n) = \frac{(\sum_{i=1}^n x_i)^2}{n \sum_{i=1}^n x_i^2}$$

– Between 0 and 1, with **1 representing greatest fairness** 

• All *n* flows receive a throughput of 1 unit of data per second

$$-x_{1} = x_{2} = \dots = x_{n}$$
  
$$f(x_{1}, x_{2}, \dots, x_{n}) = n^{2}/n \times n = 1$$

#### Evaluation Criteria (Fairness)

• Fairness: all flows have the same throughput

$$-x_1 = x_2 = \ldots = x_n$$

• Suppose one flow receives a throughput of  $1+\Delta$ 

$$f(x_1, x_2, \dots, x_n) = (n^2 + 2n\Delta + \Delta^2) / (n^2 + 2n\Delta + n\Delta^2) < 1$$

– No matter  $\Delta$  is positive or negative, the index **drops** below 1

# Queuing Disciplines

# FIFO (First-In-First-Out)

- The idea of **FIFO** queuing is "The first packet that arrives at a router is the first packet to be transmitted"
  - Also called **first-come-first-served (FCFS)** queuing



# FIFO

- FIFO queuing is **"FIFO with tail drop"** (most widely used)
  - FIFO (scheduling discipline): determines the order in which packets are transmitted
  - Tail drop (drop policy): determines which packets get dropped
- A simple variation on basic FIFO queuing is priority queuing
  - Make each packet with priority (carried in the IP Type of Service (TOS) field)
  - The router always transmits packets out of the highestpriority queue if the queue is nonempty
  - Then moves on to the **next priority queue**
  - Within each priority, packets are still **FIFO**

# FQ (Fair Queuing)

- The main problem with FIFO queuing is that it **does not discriminate** between **different traffic sources**
- FQ maintains a separate queue for each flow currently being handled by the router
  - Services these queues in a **round-robin** manner



# FQ (Fair Queuing)

• The packets being processed at a router are not necessarily the same length

– Takes **packet length** into consideration

• Let  $P_i$  denote the length of packet *i*,  $S_i$  denote the time when the router starts to transmit packet *i*, and  $F_i$  denote the time when the router finishes transmitting packet *i* 

 $-F_i = S_i + P_i$ 

• Let  $A_i$  denote the time that packet *i* arrives at the router

 $- S_i = \max(F_{i-1}, A_i)$ 

 $-F_i = \max(F_{i-1}, A_i) + P_i$ 

# FQ (Fair Queuing)

- All the  $F_i$  are treated as **timestamps** 
  - The next packet to transmit is the packet with lowest timestamp
  - However, a newly arriving packet cannot preempt a packet that is currently being transmitted
- If the link is fully loaded and there are *n* flows, each flow shares 1/*n* of the link bandwidth (Not perfect: can't preempt current packet)
  Flow 1



Shorter packets are sent first Sending of longer packet is completed first

# **TCP** Congestion Control

# **TCP Congestion Control**

- **TCP** applies an **end-to-end** congestion control mechanism
- The essential strategy of TCP is to send packets into the network **without a reservation**

- To react to **observable events** that occur

- The idea of TCP congestion control is for each source to determine how much **capacity** is available in the network
- By using **ACKs** to pace the transmission of packets
  - TCP is said to be **self-clocking**

- TCP maintains a new state variable for each connection
  - Called CongestionWindow
  - Used by the source to limit how much data it is allowed to have in transit at a given time
- The TCP's **effective window**:
  - MaxWindow =

MIN (CongestionWindow, AdvertisedWindow)

– EffectiveWindow =

MaxWindow – (LastByteSent–LastByteAcked)

# Flow Control (Advertised Window)

- AdvertiseWindow is sent by the receiver
- To avoid overflowing the receive buffer, the sender computes an effective window that limits how much data it can send:
  - EffectiveWindow = (flow control)
    - AdvertiseWindow-(LastByteSent-LastByteAcked)
  - EffectiveWindow = (congestion control)
    - MaxWindow (LastByteSent–LastByteAcked)
- CongestionWindow ≥ AdvertiseWindow: original "Sliding Window Algorithm"
- CongestionWindow < AdvertiseWindow: control by network congestion

- How TCP learns an appropriate value for CongestionWindow
  - The TCP source sets the CongestionWindow based on the level of congestion in the network
  - Decrease the congestion window when congestion goes
    up
  - Increase the congestion window when congestion goes
    down
- The mechanism is called Additive Increase/Multiplicative Decrease (AIMD)

- How does the source determine that the network is **congested**?
  - The main reason of packets are not delivered, i.e. timeout, is that packet were dropped due to congestion
- TCP interprets timeouts as a sign of congestion and then reduces the transmission rate
- Multiplicative Decrease:
  - Each time a timeout occurs, the source sets
    CongestionWindow to half of its previous value
    - **CW** = 8; timeout  $\Rightarrow$  **CW** = 4; timeout  $\Rightarrow$  **CW** = 2; timeout  $\Rightarrow$  **CW** = 1
  - CongestionWindow is not allowed to fall below the size of a single packet, i.e. the maximum segment size (MSS)

- It also needs to increase the **CongestionWindow** to take advantage of **newly available** bandwidth in the network
- Additive Increase:
  - Every time the source successfully sends a CongestionWindow's worth of packets, it adds the equivalent of one packet to CongestionWindow
  - Specifically, each time an ACK arrives the congestion window is incremented

Increment = MSS×(MSS/CongestionWindow)

- CongestionWindow + = Increment
- Fraction = MSS/CongestionWindow

#### Source Destination



- This pattern of continually increasing and decreasing the congestion window continuous throughout the connection
  - A sawtooth pattern
- The source is willing to **reduce** its congestion window at a **much faster** rate than it is willing to **increase** this window
  - The consequences of having too large a window are much worse than those of it being too small



# **AIMD** Fairness



## Slow Start

- The AIMD takes too long to ramp up a connection from its start to the available bandwidth
  - TCP provides a second mechanism, called slow start, that is used to increase the congestion window rapidly from a cold start
    - Exponentially rather than linearly
- For each received ACK, TCP increments CongestionWindow by 2
  - More rapidly than Additive Increase



## Slow Start

- Two different situations in which slow start runs
- The **very beginning** of a connection
  - The source has **no idea** about the available bandwidth
  - Double congestion window for each RTT until there is a packet loss
- The connection **goes dead** while **waiting for a timeout to occur** (no ACK comes back, and no packet can be transmitted)
  - The source uses **slow start** to restart the flow of data
  - Target congestion window (CongestionThreshold, CT)
    - Set to the value of CongestionWindow, that existed prior to the last packet loss, divided by 2
  - After CongestionWindow has reached the target, the additive increase (AIMD) is used beyond this point

#### Slow Start



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# Slow Start (cont)

- Exponential growth, but slower than all at once
- Used...
  - when first starting connection
  - when connection goes dead waiting for timeout
- Trace



 Problem: lose up to half a CongestionWindow's worth of data
#### Fast Retransmit

- TCP timeouts lead to long periods of time during which the connection went dead while **waiting for a timer to expire** 
  - The fast retransmit mechanism was added to TCP
  - Triggers the retransmission of a dropped packet sooner than the regular timeout mechanism
- Every time a data packet arrives at the receiving side, the receiver responses with a **acknowledgment** 
  - If a packet arrives out of order, TCP resends the **last ACK**
  - This duplicate ACK suggests that an earlier packet might have been lost (it is possible that it just only be delayed)
- In practice, TCP waits until it has seen **three** duplicate ACKs before retransmitting the packet

### Fast Retransmit

- The destination receives packets 1 and 2
- Packet 3 is lost in the network
- When packet 4 is received, the destination resents ACK2
- .
- When three duplicate ACK2 is received by the sender
  - Retransmit packet 3
- When packet 3 is received, the destination sends a cumulative ACK up to packet
   6



#### Fast Retransmit

- For TCP with **fast retransmit** mechanism
  - The long period which the congestion window stays flat and no packets are sent have been eliminated
  - Result in roughly a 20% improvement in the throughput

#### Fast Recovery

- **Fast recovery:** uses the ACKs that are still in the pipe to clock the sending of packets
  - Remove the slow start phase between when fast retransmit detects a lost packet and additive increase begins
  - Simply cuts the congestion window in half and resumes additive increase



KB



•Total number of packets transmitted in a period

$$=\frac{w}{2}+\left(\frac{w}{2}+1\right)+\left(\frac{w}{2}+2\right)+\cdots+w\approx\frac{3}{8}w^{2}$$

•One packet dropped in a period

$$\rho \approx \frac{1}{\frac{3}{8}w^2} \longrightarrow w \approx \sqrt{\frac{81}{3\rho}}$$
  
• RATE  $\approx \frac{\frac{3}{8}w^2}{\frac{w}{2}RTT} \approx \frac{3}{4}\frac{w}{RTT} \approx \frac{1}{RTT}\frac{1}{\sqrt{\rho}}\sqrt{\frac{3}{2}} \approx \frac{1.22}{RTT\sqrt{\rho}}$ 

# **Congestion Avoidance**

#### • TCP's strategy

- control congestion once it happens
- repeatedly increase load in an effort to find the point at which congestion occurs, and then back off
- Alternative strategy
  - predict when congestion is about to happen
  - reduce rate before packets start being discarded
  - call this congestion *avoidance*, instead of congestion *control*
- Two possibilities
  - router-centric: DECbit and RED Gateways
  - host-centric: TCP Vegas

# DECbit

- Add binary congestion bit to each packet header
- Router
  - monitors average queue length over last busy+idle cycle



- set congestion bit if average queue length > 1
- attempts to balance throughout against delay

# **End Hosts**

- Destination echoes bit back to source
- Source records how many packets resulted in set bit
- If less than 50% of last window's worth had bit set
   increase CongestionWindow by 1 packet
- If 50% or more of last window's worth had bit set

- decrease CongestionWindow by 0.875 times

# Random Early Detection (RED)

- Notification is implicit
  - just drop the packet (TCP will timeout)
  - could make explicit by marking the packet
- Early random drop
  - rather than wait for queue to become full, drop each arriving packet with some *drop probability* whenever the queue length exceeds some *drop level*

# **RED** Details

• Compute average queue length

```
AvgLen = (1 - Weight) * AvgLen +
    Weight * SampleLen
0 < Weight < 1 (usually 0.002)
SampleLen is queue length each time a packet arrives</pre>
```



# RED Details (cont)

- Two queue length thresholds
  - if AvgLen <= MinThreshold then
     enqueue the packet</pre>
  - if MinThreshold < AvgLen < MaxThreshold then
     calculate probability P
     drop arriving packet with probability P</pre>
  - if MaxnThreshold <= AvgLen then
     drop arriving packet</pre>

# RED Details (cont)

• Computing probability P

• Drop Probability Curve



# Tuning RED

- Probability of dropping a particular flow's packet(s) is roughly proportional to the share of the bandwidth that flow is currently getting
- MaxP is typically set to 0.02, meaning that when the average queue size is halfway between the two thresholds, the gateway drops roughly one out of 50 packets.
- If traffic is bursty, then **MinThreshold** should be sufficiently large to allow link utilization to be maintained at an acceptably high level
- Difference between two thresholds should be larger than the typical increase in the calculated average queue length in one RTT; setting **MaxThreshold**, to twice **MinThreshold** is reasonable for traffic

# TCP Vegas

• Idea: source watches for some sign that router's queue is building up and congestion will happen



# Algorithm

- Let **BaseRTT** be the minimum of all measured RTTs (commonly the RTT of the first packet)
- If not overflowing the connection, then

ExpectRate = CongestionWindow/BaseRTT

- Source calculates sending rate (ActualRate) once per RTT
- Source compares ActualRate with ExpectRate

```
Diff = ExpectedRate - ActualRate

if Diff < \alpha

increase CongestionWindow linearly

else if Diff > \beta

decrease CongestionWindow linearly

else
```

leave CongestionWindow unchanged

# Algorithm (cont)

• Parameters



# Quality of Service

### Quality of Service

- Packet-switched networks have offered the promise of supporting **multimedia applications** 
  - One obstacle is the need for higher-bandwidth links
  - Improvements in coding have reduced the bandwidth needs
- Audio or video services are delay sensitive (real-time applications). ⇒ timely delivery is very important
  - Need some sort of assurance from the network that data is likely to arrive on time
- Non-real-time applications: use an end-to-end retransmission strategy to make sure that data arrives correctly
  - Such a strategy cannot provide timeliness
  - Retransmission  $\Rightarrow$  **long latency**

### Quality of Service

- **Timely arrival** must be provided by the **network** itself (routers), not just at the network edges (hosts)
- **Best-effort model** is **not sufficient** for real-time applications
  - A new service model is required
  - Applications that need higher assurances can ask the network for them
- The network will treat some packets (real-time) differently from others (non-real-time)
- A network that can provide these different levels of service is often said to support **quality of service (QoS)**

## Application Requirements (Real-Time)

- The data must be **played back** at some appropriate rate
  - Each sample has a particular **playback time** in the receiver
- If data arrives **after** its appropriate playback time, either delayed or dropped, it is essentially **useless**
- It is **impossible** to make sure that all samples take exactly the same amount of time to traverse the network
  - The queue lengths **vary with time**  $\Rightarrow$  so does **delays**
- To **buffer** up some amount of data in reserve, thereby always providing a store of packets waiting to be played back



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## Application Requirements (Real-Time)

- As long as the playback line is far enough to the right in time, the variation in network delay is never noticed by the application
- For audio applications, there are limits to how far we can delay playing back data
   Delay is



## Application Requirements (Real-Time)

- We can measure the **one-way delay** over a certain path across the Internet
  - The key factor is the **variability** of the delay
- Set the playback point to  $100 \text{ ms} \Rightarrow 3\%$  packets arrive too late
- Set the playback point to 200 ms ⇒ ensures that all packets arrived in time



### Approaches to QoS Support

- Two broad categories of approaches that support QoS:
  - Fine-grained approaches: provide QoS to individual applications or flows
    - **RSVP** (Resource Reservation Protocol)
  - Coarse-grained approaches: provide QoS to large classes of data or aggregated traffic
    - Differentiated Services

# RSVP (Resource Reservation Protocol)

### Integrated Services (RSVP)

- Service classes:
  - Guaranteed service: the network should guarantee that the maximum packet delay has some specified value
    - The application can set the **playback point** so that no packet will ever arrive after its playback time
  - Controlled load service: tolerant, adaptive applications
    - Uses a **queuing mechanism** to isolate the controlled load traffic from other traffic
    - Uses some form of **admission control** to limit the total amount of controlled load traffic such that the load is kept reasonable low
    - Adjusts the playback point as network delay varies, and controls a reasonable packet loss rate

#### Integrated Services (RSVP)

- Four major parts for providing integrated services:
  - Flowspec: the set of information that a user provides to the network regarding the service
  - Admission control: the process of deciding when to say no to a requesting user
  - Resource reservation: the mechanism by which the users of the network and the components of the network exchange information, such as requests for service
  - Packet scheduling: the mechanism of managing the way packets are queued and scheduled for transmission in the switches and routers

#### Flowspec

- There are two separable parts to the flowspec:
  - RSpec: describes the service requested from the network
    - For a controlled load service: **no additional parameters**
    - For a guaranteed service: specify a **delay** target or bound
  - TSpec: describes the flow's traffic characteristics
    - Specify the requesting bandwidth: the average bit rate and the peak bit rate 3 7



### Admission Control

- Admission control looks at the **TSpec** and **RSpec** of the flow and tries to decide if the desired service can be provided
  - Given the currently **available resources**
  - Without causing any previously admitted flow to receive worse service than it had requested
- Admission control is very dependent on the **type of requested service** and on the **queuing discipline** employed in the routers
  - For a guaranteed service: a good algorithm to make a definitive yes/no decision is required
  - For a controlled load service: it may be based on heuristics
    - E.g., current delays are **far inside the bounds**  $\Rightarrow$  It should be able to admit another flow without difficulty

### Admission Control

- **Policing:** is a function applied on a **per-packet basis** to make sure that a flow conforms to the **TSpec** that was used to make the reservation
  - There are several options, the obvious one being to drop offending packets
  - Another option is to drop the offending packets first if any packets are needed to drop

#### Resource Reservation (RSVP)

- The most important protocol is Resource Reservation Protocol (RSVP)
  - Maintains the robustness of connectionless networks by using the idea of soft state in the routers
  - Supports multicast flows just as effectively as unicast flows
- Consider the case of one sender and one receiver trying to get a reservation for the traffic flowing between them
  - The receiver needs to know what traffic the sender is likely to send (to make an appropriate reservation)
  - It needs to know what path the packets will follow (to establish a reservation at each router on the path)

#### Resource Reservation (RSVP)



### Packet Scheduling

- For the routers to actually deliver the packets, all the routers on the path must
  - Classifying packets: classify each packet with the appropriate reservation so that it can be handled correctly
  - Packet scheduling: manage the packets in the queues according to the requested service
- Classifying packets is done by examining up to five fields in the packet: the source address, destination address, protocol number, source port, and destination port
  - Based on this information, the packet can be placed in the appropriate class
- Packet scheduling is an area where implementers can try to do creative things to **realize the service model efficiently**

### Scalability Issues

- In the **best-effort service** model, routers store **little or no state** about the individual flows passing through them
- RSVP raises the possibility that **every flow** passing through a router might have **a corresponding reservation** 
  - Each of those reservations needs some amount of state
    - Stored in memory and refreshed periodically
  - The router needs to classify, police, and queue each of those flows
- Suppose that every flow on an OC-48 (2.5 Gbps) link represents a 64-Kbps audio stream

 $-2.5 \times 10^{9}/64 \times 10^{3} = 39,000$  flows

- Maintaining **per-flow** state may be **not practical**
- ⇒ Differentiated services

### **Differentiated Services**

#### **Differentiated Services**

- **Differentiated services model (DiffServ**) allocates resources to a small number of classes of traffic
  - Some proposed approaches divide traffic into **two classes**
  - Enhance the best-effort service model by adding just one new class called "premium"
- Each router should figure out which packets are **premium** and which are regular old **best-effort** 
  - Could be done by using a bit in the packet header
  - The router at the edge of an Internet service provider's network might set this bit for some packets
- In practice: based on the behavior of individual routers called "per-hop behaviors" (PHBs)

### Differentiated Services (EF)

- Six bits (taken from the old TOS (type of service) byte of the IP header) have been allocated for DiffServ code points (DSCP)
  - Identify a particular PHB to be applied to a packet
- One of the simplest PHBs is "expedited forwarding" (EF)
  - The packets should be forwarded by the router with minimal delay and loss
  - One possible strategy is to give EF packets strict priority over all other packets
  - Another is to perform **weighted fair queuing** 
    - The weight of EF set is sufficiently high
    - All EF packets can be delivered quickly
## Differentiated Services (AF)

- Another PHB is known as "assured forwarding" (AF)
  - Based on an approach known as "RED with In and Out"
    (RIO) or "Weighted RED" (RED, random early detection)
  - A custom is allowed to send up to *y* Mbps of assured traffic
  - If it sends less than y Mbps, all packets are marked "in"
  - If it exceeds the rate, the excess packets are marked "out"



## Differentiated Services (WFQ)

- A third way is **weighted fair queuing (WFQ):** it uses the DSCP value to determine which queue to put a packet into
  - Define the **best-effort queue** and the **premium queue**
  - Choose weights that makes the premium packets get a better service than the best-effort packets
- E.g. premium queue weight = 1, best-effort queue weight = 4
  - The bandwidth available to premium packets is

 $\mathbf{B}_{\text{premium}} = \mathbf{W}_{\text{premium}} / (\mathbf{W}_{\text{premium}} + \mathbf{W}_{\text{best_effort}}) = 0.2$ 

- Reserve 20% bandwidth of the link for premium packets
- If the offered load of premium traffic is 10% of the link on average, the service of premium traffic will be very good